

Elevated atmospheric CO₂ and humidity delay leaf fall in Betula pendula, but not in Alnus glutinosa or Populus tremula × tremuloides

Article

Accepted Version

Godbold, D. L., Tullus, A., Kupper, P., Sober, J., Ostonen, I., Smith, A., Godbold, J. A. and Lukac, M. (2014) Elevated atmospheric CO₂ and humidity delay leaf fall in Betula pendula, but not in Alnus glutinosa or Populus tremula × tremuloides. Annals of Forest Science, 71 (8). pp. 831-842. ISSN 1286-4560 doi: <https://doi.org/10.1007/s13595-014-0382-4> Available at <https://centaur.reading.ac.uk/36635/>

It is advisable to refer to the publisher's version if you intend to cite from the work. See [Guidance on citing](#).

To link to this article DOI: <http://dx.doi.org/10.1007/s13595-014-0382-4>

Publisher: Springer

All outputs in CentAUR are protected by Intellectual Property Rights law, including copyright law. Copyright and IPR is retained by the creators or other copyright holders. Terms and conditions for use of this material are defined in the [End User Agreement](#).

www.reading.ac.uk/centaur

CentAUR

Central Archive at the University of Reading

Reading's research outputs online

1 **Elevated atmospheric CO₂ and humidity delays leaf fall in *Betula pendula*,**
2 **but not in *Alnus glutinosa* or *Populus tremula* × *tremuloides*.**

3

4 **Executive Summary**

5 The effects of both elevated atmospheric CO₂ and increased air humidity on
6 autumn leaf fall were assessed using free air systems. Both factors delayed leaf
7 litter fall in *Betula pendula*, but not in *Populus tremula* × *tremuloides* or *Alnus*
8 *glutinosa*.

9

10 **Abstract**

11 Context: Anthropogenic activity has increased the level of atmospheric CO₂,
12 which is driving an increase of global temperatures and associated changes in
13 precipitation patterns. At Northern latitudes, one of the likely consequences of
14 global warming is increased precipitation and air humidity.

15 Aims: In this work, the effects of both elevated atmospheric CO₂ and increased air
16 humidity on trees commonly growing in northern European forests were assessed.

17 Methods: The work was carried out under field conditions by using Free Air
18 Carbon dioxide Enrichment (FACE) and Free Air Humidity Manipulation
19 (FAHM) systems. Leaf litter fall was measured over 4 years (FACE) or 5 years
20 (FAHM) to determine the effects of FACE and FAHM on leaf phenology.

21 Results: Increasing air humidity delayed leaf litter fall in *Betula pendula*, but not
22 in *Populus tremula* × *tremuloides*. Similarly, under elevated atmospheric CO₂,
23 leaf litter fall was delayed in *Betula pendula*, but not in *Alnus glutinosa*. Increased

24 CO₂ appeared to interact with periods of low precipitation in summer and high
25 ozone levels during these periods to effect leaf fall.

26 Conclusions: This work shows that increased CO₂ and humidity delay leaf fall,
27 but this effect is species specific.

28

29 **Keywords:** climate change, Free Air CO₂ Enrichment (FACE), Free Air Humidity
30 Manipulation, leaf fall, ozone

31

32 **Introduction**

33 Anthropogenic activities since the industrial revolution have increased
34 atmospheric CO₂ concentrations (IPCC 2013), leading not only to climate
35 warming, but also to direct effect of elevated CO₂ on forest net primary
36 productivity (NPP, Norby et al. 2005). In addition, climate change is predicted to
37 increase precipitation at Northern latitudes (IPCC 2013), likely leading to an
38 increase in air humidity. For example, in the Baltic region climate change
39 scenarios for the year 2100 predict an increase in air temperature (by 2.3–4.5 °C),
40 precipitation (by 5–30%), cloudiness (by 2%), but also higher wind speeds and
41 vapour pressure (Kont et al. 2003). Studies investigating the impact of global
42 environmental change on terrestrial ecosystems have identified a consistent
43 pattern of phenological change in the Northern hemisphere (IPCC 2013). Analysis
44 of normalised difference vegetation index (NDVI) remote sensing data gathered
45 during 1985-1999 has revealed an 18 day extension of the growing season in
46 Eurasia (Zhou et al. 2001). Multiple drivers have been shown to differentially

47 influence plant phenophases, earlier bud break has been correlated with
48 atmospheric warming and delayed senescence (Menzel et al. 2006) and
49 interactions between temperature and elevated atmospheric CO₂ concentrations
50 have been described (Taylor et al. 2008). The process of senescence is governed
51 by developmental age, but also influenced by various integrated endogenous and
52 environmental signals (Lim et al. 2007). Environmental factors influencing leaf
53 senescence can be grouped into: (i) abiotic factors that include drought, nutrient
54 limitation, extreme temperatures, ozone induced oxidative stress, and (ii) biotic
55 factors including, pathogen infection or shading by other plants (Li et al. 2000).
56 Endogenous factors influencing senescence include carbon source-sink
57 relationships, phytohormones, particularly jasmonic (JA) and abscisic acid
58 (ABA), ethylene and salicylic acid (SA). The aforementioned phytohormones
59 initiate senescence through cellular signalling pathways in response to various
60 abiotic and biotic stresses that promote the expression of senescence inducing
61 genes (Morris et al. 2000).

62 Elevated atmospheric CO₂ has shown been to increase long term forest net
63 primary productivity (Zak et al. 2011), if nutrients are not limiting (Leutzinger
64 and Hätenschwiler 2013). However studies of the effects of elevated atmospheric
65 CO₂ on tree autumnal phenophase have produced conflicting results. For example,
66 elevated CO₂ advanced senescence in two varieties of *Pinus ponderosa* (Houpis et
67 al. 1988) and also in *Populus trichocarpa* (Sigurdsson 2001), yet delayed
68 senescence of *Quercus myrtifolia* (Li et al. 2000) and *Populus* species grown in
69 freely rooted field conditions during the AspenFACE and POPFACE studies

(Taylor et al. 2008). At the DukeFACE experiment, however, no effect on leaf phenology was observed in *Liquidambar styraciflua* (Herrick and Thomas 2003).

Air water vapour content determines the vapour pressure difference between ambient air and leaf interior (VPD_L), a gradient which drives the transpiration process of plant foliage. At higher relative humidity, both VPD_L and transpirational flux decrease, which has been demonstrated in the Free Air Humidity Manipulation (FAHM) experiment in both *Betula pendula* Roth and *Populus tremula* L. \times *P. tremuloides* Michx. in rainy summers when soil water content is not limiting in ambient conditions (Kupper et al. 2011; Tullus et al. 2012a). It has been shown that elevated humidity diminishes nutrient supply to the leaves and photosynthetic capacity, altering foliar and fine-root properties and tree growth rate (Tullus et al. 2012a; Hansen et al. 2013; Parts et al. 2013; Sellin et al. 2013). However, the effect of air humidity changes on leaf fall in trees has not been studied to date.

Natural autumnal senescence is regulated by the interaction of a number of factors including day length and temperature, nitrogen and water supply, as well as sink strength within the plant (Wingler et al. 2006). Thus, changes in the timing of leaf senescence are governed by, amongst other factors, assimilation during the vegetation period and sugar accumulation in leaves (Swartzberg et al. 2010).

Several studies utilising molecular genetic approaches have indicated that high concentrations of leaf sugars reduce photosynthetic activity, which in turn induces leaf senescence (Swartzberg et al. 2010). In *Acer saccharinum*, girdling resulted in increased sugar accumulation in leaves, and subsequent formation of anthocyanins

(Murakami et al. 2008), whilst increased anthocyanin content in another study utilising the same species was associated with a delay in leaf senescence (Schaberg et al. 2008). Furthermore, transcriptome analysis of *Populus* trees grown under elevated CO₂ in field conditions revealed up-regulation of genes determining anthocyanin production during delayed senescence (Tallis et al. 2010). These authors suggest that anthocyanins may play a protective role in leaf metabolism and increase leaf longevity.

In the work presented here we investigated the effect of two factors of global climate change, atmospheric CO₂ and humidity, on autumn leaf fall. We speculated the effects of both of these factors were tree species specific. Thus, we hypothesised that (i) elevated CO₂ delays and (ii) elevated atmospheric humidity anticipates leaf senescence in broadleaved species.

105

106 **Material and Methods**

107 The investigation was carried out at two sites, a Free Air Carbon dioxide
108 Enrichment experiment (BangorFACE) and a Free Air Humidity Manipulation
109 (FAHM) experiment.

110 **The FACE facility**

111 The BangorFACE experimental site was established in March 2004 on two former
112 agricultural fields with a total area of 2.36 ha at the Bangor University research
113 farm (53°14'N, 4°01'W) in North Wales, UK. Both fields were originally
114 pastures, one field was used for small scale forestry experiments for the last 20
115 years, the other field was ploughed and planted with oil seed rape in 2003.

116 Climate at the site is classified as Hyperoceanic, with a mean annual temperature
117 in 2005 through 2008 of 11.5 °C and an annual rainfall of 1034 mm (Figure 1a).
118 Soil is a fine loamy brown earth over gravel (Rheidol series) and classified as
119 Fluventic Dystrochrept (Smith et al. 2013a). Soil texture is 63% sand, 28% silt
120 and 9% clay. The topography consists of a shallow slope of approximately 1–2°
121 on a deltaic fan. The site aspect is northwesterly, with an altitude of 13 to 18m
122 a.s.l. The depth of the water table ranges between 1 and 6 m.

123 At the BangorFACE site eight octagonal plots, four ambient and four CO₂
124 enriched were established, creating a 2 × 4 factorial block design across the two
125 fields. Three tree species (*Alnus glutinosa* [L.] Gaertner, *Betula pendula* Roth. and
126 *Fagus sylvatica* L.) were selected due to their contrasting shade tolerance,
127 successional chronology and to represent a range of taxonomic, physiological and
128 ecological types. Each plot was divided into seven planting compartments and
129 planted in a pattern creating areas of one, two and three species mixtures. The
130 present study makes use of observations originating from three single species
131 subplots of *B. pendula* and *A. glutinosa*. The site was planted with 60 cm saplings
132 of each species. Within each treatment, the planting pattern was rotated by 90 °
133 between the four plots to avoid potential artefacts introduced by microclimate, soil
134 and uneven growth rates of the different species. Each plot was surrounded by a
135 10 m border of *B. pendula*, *A. glutinosa* and *F. sylvatica* planted at the same
136 density. The remaining field was planted at a 1 m hexagonal spacing with a
137 mixture of birch (*B. pendula*), alder (*A. glutinosa*), beech (*F. sylvatica* L.), ash
138 (*Fraxinus excelsior* L.), sycamore (*Acer pseudoplatanus* L.), chestnut (*Castanea*

139 *sativa* Mill.) and oak (*Quercus robur* L.). To protect the saplings, the entire
140 plantation was fenced.

141 Carbon dioxide enrichment was carried out using high velocity pure CO₂
142 injection, with a target concentration in the FACE plots as ambient plus 200 ppm
143 (Smith et al. 2013a). The elevated CO₂ concentrations, measured at 1 minute
144 intervals, were within 30% deviation from the pre-set target concentration of 580
145 ppm CO₂ for 75-79% of the time during the photosynthetically active part of 2005
146 – 2008 (Smith et al 2013a). Vertical profiles of CO₂ concentration measure at 50
147 cm intervals through the canopy showed a maximum difference of 7%.

148 Air temperature and precipitation were monitored using an automatic weather
149 station (Campbell Scientific, Logan, UK) sampling at 3 m above the ground at
150 hourly intervals Ground level ozone concentration was measured at a DEFRA air
151 quality monitoring station at Aston Hill (52°30'N, 3°02'W) ca. 50 km from
152 BangorFACE at hourly intervals, and was matched to measurements made at the
153 Centre for Ecology and Hydrology ozone research facility directly next to the
154 BangorFACE site (53°14'N, 4°01'W).

155

156 **FAHM facility**

157 The Free Air Humidity Manipulation (FAHM) experimental facility is located at
158 Järvselja Experimental Forest District in South-East Estonia (58°14'N, 27°18'E).
159 The study area lies in the northern part of the temperate climate zone in the
160 transition zone between maritime and continental climate. The study period
161 comprised two growing seasons with drought conditions (2010 and 2011) and

three with average precipitation conditions (2008, 2009 and 2012) (Figure 1b).
Soil is classified as Endogleyic Planosol (Hansen et al. 2013). The FAHM site is a
2.7 ha fenced area, previously used for agriculture, where nine experimental circle
plots are situated. Three experimental plots act as control plots. In three plots the
relative air humidity (RH) is elevated by approximately 7% over ambient level
using a misting technique (water is vaporized to a droplet size ca 10 μ m) and
FACE-like technology to mix humidified air inside the plots (for more detailed
technical description see Kupper et al. 2011 and Tullus et al. 2012a).
Humidification is applied when ambient RH < 75%, air temperature > 10 °C and
wind speed < 4 m/s. Three experimental plots were “open-top” plots from 2009-
2011 and are not included in the current study. Half of each plot was planted with
silver birch (*Betula pendula* Roth) and another half with hybrid aspen (*Populus*
tremula L. \times *P. tremuloides* Michx.) in 2006. The experimental plots are
surrounded by a buffer zone, composed of hybrid aspen. Humidity manipulation
experiment started in 2008 and has been running during all growing seasons
(May-Oct) since then. The first experimental period with *Betula pendula* ended in
2011, after that a new birch generation was established with planted seedlings.
Hybrid aspens were cut in 2012 and a new generation emerged as regrowth roots
and stumps.
Air temperature and precipitation were monitored using an automatic weather
station (Campbell Scientific, Logan, UK) collecting in 10 minute intervals at 6 m
above the ground. Temperature data were collected in 10 minute intervals. Winter

184 precipitation (snow) data was obtained from the Estonian Environment Agency's
185 weather station, situated ca. 70 km from the FAHM site.

186

187 **Litter collection**

188 ***BangorFACE***

189 Following observation of leaf fall, fallen leaf litter was collected at weekly
190 intervals using litter baskets with an area of 0.11 m² until all leaves had abscised
191 (September to December). A litter basket was located in each of the single species
192 subplots. Litter was returned to the laboratory on the day of collection, washed
193 and sorted into individual species, and then dried at 80 °C for 24 hours. The dry
194 weight of each species was determined and recorded for each species subplot
195 within each ambient and elevated CO₂ plot. *Fagus sylvatica* was not used as
196 senesced leaves remained attached until bud burst the following spring. Leaf
197 retention was calculated by subtracting fallen litter at each sampling collection
198 from the total fallen litter after all the leaves had abscised.

199

200 ***FAHM***

201 Litter was collected from three control (C) and three humidified (H) plots. Under
202 both *Betula pendula* and hybrid aspen, two litter baskets (0.21 m²) per species
203 were installed. Litter collection started in the end of July/beginning of August and
204 continued in ca 2-week interval until all leaves had abscised (usually by mid-
205 November). Birch litter was collected during four experimental years (2008-
206 2011), after that the first generation of birch trees was harvested. *Populus tremula*

207 × *tremuloides* litter was collected during five years (2008-2012), after which the
208 first generation of aspen was removed. Litter samples were dried at 70 °C to
209 constant weight and dry mass of the samples was determined. Leaf retention was
210 calculated as described above.

211

212 **Data analysis**

213 Generalized additive mixed models (GAMMs; Zuur et al., 2007; Wood, 2008)
214 were used to describe the percentage change in remaining leaf mass at each
215 collection date between ambient and treatment plots. Visual assessments of
216 variograms and residuals vs. fitted values found weak evidence of temporal
217 autocorrelation. However, as the time series consisted of <20 data points, it was
218 more appropriate to model the variance structure, rather than the autocorrelation
219 structure (Zuur et al. 2009). For *Alnus glutinosa* and *Populus tremula* data
220 exploration indicated violation of homogeneity of variances as a result of
221 differences between FACE rings and precipitation respectively. As a result, we
222 used a random effects model to model variability caused by the factor “Ring” (for
223 *A. glutinosa*) and the variable “precipitation” (for *P. tremula*). The additive
224 (GAM; *Betula pendula*) and additive mixed models (GAMM; *A. glutinosa*, *P.*
225 *tremula*) were modelled with a binomial distribution and a logistic link function
226 (Zuur et al. 2009). For both the FAHM and FACE analyses, the initial models of
227 the GAMs and GAMMs included a smoother over “Collection Day” (s(Days)),
228 the factors “Treatment” (ambient or elevated), “Year”, as well as “Precipitation”
229 and “Ozone” for the FACE analyses and “Precipitation” and “Temperature” for

the FAHM analyses. To estimate the optimal amount of smoothing for each smoother, we used cross-validation (Zuur et al., 2009) and alternative models were compared using the Akaike information criterion (AIC). Once the optimal model was identified, the residuals were re-examined to ensure that model assumptions were met. Analyses were conducted in R (R Development Core Team 2014) and the “mgcv” library for additive (mixed) models (Wood, 2014).

Results

Environmental factors

At BangorFACE during the four-year experiment period, in the summers of both 2006 and 2008 there were two consecutive months with extremely low precipitation (Figure 1a). These months were June and July in 2006, and May and June in 2008. In 2006 the highest summer temperature of the period under observation was reached. The highest temperature of 34.3°C (Table 1) was recorded in July 2006 during a week long period of very high temperatures. Accumulative ozone over the threshold of 40 ppb (AOT₄₀) was highest during 2006, with daily peaks in excess of 210 ppb. In 2008, over the year neither cumulative precipitation was very low nor was cumulative AOT₄₀ very high. However, during the low rainfall months of May and June, 50 % of the total annual AOT₄₀ exceedance occurred and levels of over 170 ppb were reached. Based on the growing degree days (GDD) and maximum temperature, 2007 was the coolest of the 4 years (Table 1).

252 At the FAHM site, the five-year experiment period also included two consecutive
253 years with conditions of drought in the middle of the growing season; 2010 and
254 2011. The year 2010 was the warmest of the 5 years of the investigation, with ca.
255 double the number of growing degree days compared to 2008 and 2012 (Table 1).
256 The year 2011 was the driest year for plant growth as spring precipitation was low
257 (Figure 1b).

258

259 *Leaf fall*

260 At both the FAHM and the BangorFACE sites, based on weekly observations the
261 timing of budburst was not affected by either elevated humidity or CO₂,
262 respectively. The autumn leaf fall at the FAHM site was modelled using a GAMM
263 for *Populus tremula* × *tremuloides* and a GAM for *Betula pendula*. The curves of
264 the measured data (Figure 2) and the modelled data (Figure 3) showed a high
265 degree of agreement. In *Populus tremula* × *tremuloides*, the r^2 for the GAMM fit
266 was 97%, and in *Betula pendula* the r^2 for the GAM fit was 95%. At the FAHM
267 site, different patterns of leaf fall were observed between *Betula pendula* and
268 *Populus tremula* × *tremuloides* (Figure 2). In *Betula pendula* fall began earlier
269 and continued over an 8-9 week period, where as in *Populus tremula* ×
270 *tremuloides* ca 80% of the leaves were lost within a two week period. In all study
271 years the leaf fall of *Betula pendula* was significantly delayed (Figure 3, Table 2)
272 and slower in the increased humidity plots ($p < 0.0001$), while such a consistent
273 trend was not detected in *Populus tremula* × *tremuloides* ($p < 0.0001$). In 2010, in
274 *Populus tremula* × *tremuloides* leaf fall was significantly earlier in the increased

275 humidity plots ($p < 0.0001$, Figure 3). Generally, in hybrid aspen, leaf fall started
 276 later and lasted for a shorter period. In control plots, leaf fall of *Betula pendula*
 277 began in the first half of August, whereas in the increased humidity plots leaves
 278 started to fall almost 4 weeks later (Figure 2). Litter fall dynamics in both *Populus*
 279 *tremula* \times *tremuloides* and *Betula pendula* appeared to be dependent on annual
 280 weather conditions. Litter fall started earlier and more vigorously in the years
 281 2010 and 2011 with dry summers (Figure 1b). But *Betula pendula* litter dynamics
 282 were also affected by increased humidity even in wet years (Figures 1a, 2 and 3).
 283 However, in the modelled data, inclusion of the treatment factors temperature and
 284 precipitation did not improve the GAM, and both variables were removed during
 285 the backward selection procedure. The prolonged leaf retention in *Betula pendula*
 286 meant that the time of 50% leaf fall was reached ca. 21 days later in the increased
 287 humidity plots (Table 2). However, the duration to 100% leaf fall did not differ
 288 between the ambient and humidity treatment.

289 At BangorFACE, a similar pattern of leaf loss was observed in *Betula pendula*
 290 and *Alnus glutinosa*. Again the curves of the measured data (Figure 4) and the
 291 modelled data (Figure 5) showed a high degree of agreement, with the exception
 292 of *Betula pendula* in 2007. In *Alnus glutinosa*, the r^2 for the GAMM fit was 95%,
 293 and in *Betula pendula* the r^2 for the GAM fit was 89%. Inclusion of the factors
 294 temperature, precipitation and ozone did not improve the GAM or GAMM, and
 295 again these variables were removed during the backward selection procedure. In
 296 *Alnus glutinosa*, in 2007 leaf loss was significantly earlier in both ambient and
 297 elevated atmospheric CO₂ compared to the other years (Figures 4 and 5, Online

Resource 1). In *Alnus glutinosa*, leaf fall was not significantly affected by elevated atmospheric CO₂ (Figure 5, Online Resource 1). In contrast in *Betula pendula* leaf fall was delayed by elevated atmospheric CO₂ in the years 2006 and 2008 based on the measured data (Figure 4), and in all years based on the modelled data (Figure 5, Online Resource 1). In 2006, litter collection was initiated on the 20th September (day 263). Under ambient CO₂, 3 weeks later on the 11th October (day 283), 61% of the *Betula pendula* leaf canopy was still retained in the crowns. In comparison under elevated CO₂, 80% of the leaf canopy was still present in the crowns of the trees on the same date. Under elevated CO₂, *Betula pendula* still had 61% of the total canopy 14 days later on the 25th October (day 298), thus extending the life span of the canopy (Table 1). In 2008, litter collection started on the 26th September (day 269), and by the 24th October (day 297) in the ambient plots 96% of the leaf canopy had fallen. Under elevated CO₂, on the 24th October 89% of the canopy had fallen, and to reach a level of 96% a further 12 days were required.

313

314 Discussion

Plant leaf senescence is a complex process predominantly influenced by environmental factors such as temperature, light, nitrogen availability and soil moisture. An example of this was seen in *Alnus glutinosa*, where early leaf fall in 2007 occurred in the coolest of the four years. In addition, plant physiological interactions which affect leaf senescence include phytohormones, leaf sugar content and source-sink status of the plant (Winger et al. 2006; Taylor et al.

2008). The data presented here show that elevated CO₂ and increased humidity both result in two to three weeks longer leaf retention in *Betula pendula*. This effect was not seen in either *Alnus glutinosa* under elevated CO₂ or in hybrid aspen (*Populus tremula* × *tremuloides*) under increased humidity. On the contrary, in one year, 2010, in *Populus tremula* × *tremuloides* under increased humidity leaf fall was earlier. However, the effect of elevated CO₂ on leaf retention in *Betula pendula* also appears modified by interactions with other environmental factors, such as periods of drought, high temperature and high levels of ozone. Also in *Populus tremula* × *tremuloides* the shorter retention occurred in the warmest year (2010).

Plant growth in an elevated CO₂ atmosphere is often associated with increased accumulation of leaf starch and sugars, whilst leaf N is reduced (Ainsworth and Long 2005). Studies of *Arabidopsis* have demonstrated that leaf senescence can be induced by low N availability, and that N deficiency can result in leaf sugar accumulation (Pourtau et al. 2004). In support of this, leaf N of *Quercus myrtifolia* in summer was lower under elevated CO₂ than under ambient CO₂, but higher in autumn (Li et al. 2000). The higher autumn leaf N contents were related to delayed leaf fall. At BangorFACE, N contents of *Betula pendula* and *Alnus glutinosa* leaves were not changed under elevated CO₂ (Smith et al. 2013a) during the summer, and in *Betula pendula* in the autumn (Ferreira et al. 2010). No autumnal leaf N data are available for *Alnus glutinosa*. In contrast, N content in both *Betula pendula* and hybrid aspen leaves were significantly lower in increased humidity plots in rainy summers (Tullus et al. 2012a; Sellin et al. 2013). This

344 indicates that in species under consideration, a change in leaf N status is not a
345 common factor related to longer leaf retention. A generally consistent response to
346 the process of leaf senescence is an increase in sugar content (Quirino et al. 2001).
347 Complex interactions during sugar metabolism could help to explain these
348 observations, which are supported by the results of a sugar maple (*Acer*
349 *saccharum*) girdling experiment where leaf sugar accumulation initiated the
350 formation of anthocyanin, a molecule associated with delayed senescence
351 (Murakami et al. 2008). Furthermore, using *Populus* spp., specific cDNA
352 microarrays up-regulated gene expression of leucoanthocyanidin dioxygenase
353 (LDOX) and dihydroflavonol reductase (DRF), two enzymes involved in the
354 biosynthesis of anthocyanin were observed, in addition to increased autumnal leaf
355 sugar accumulation (Tallis et al. 2010). At BangorFACE, *Betula pendula* glucose
356 and total soluble sugars leaf content were increased in leaves collected during
357 2006 under elevated CO₂, whereas only the contents of glucose increased in *Alnus*
358 *glutinosa* (Ahmed 2006).

359 Cytokinins are known to delay leaf senescence (Yong et al. 2000), and usually an
360 excellent negative correlation between leaf cytokinin content and autumnal
361 phenophase exists during senescence (Buchanan-Wollaston 1997). However, the
362 physiology and biochemistry relating to the production of cytokinins and their
363 interactions with senescence processes are poorly understood. Many researchers
364 consider cytokinins to be predominantly root-sourced plant hormones, which are
365 translocated from the roots through the xylem (Dong et al. 2008). The supposition
366 that cytokinin synthesis occurs primarily in roots was supported by the discovery

of IPT-genes that control cytokinin synthesis in plants (Chang et al. 2003). As elevated CO₂ has been shown to increase carbon allocation to roots and mycorrhizal symbionts (Iverson et al. 2010), elevated CO₂ may also raise cytokinin production and subsequently increase leaf cytokinin concentrations. In the BangorFACE experiment the leaf area index was not different between ambient and elevated CO₂ (Smith et al. 2013a), but the numbers of root tips in *Betula pendula* were increased by 31 and 41% in 2006 and 2008 under elevated CO₂, and in *Alnus glutinosa* a decrease or a 20% increase were found in 2006 and 2008 respectively (Smith et al. 2013b). Similarly, under FAHM, in *Betula pendula* the root tip frequency per DW was 20 % and 7% higher in 2009 and 2010, respectively (Parts et al. 2013), and the number of root tips m⁻² was increased by 42% compared to ambient in 2011 (Ostonen, unpublished), but no data are available for hybrid aspen. A feedback mechanism involving a higher number of root tips and thus greater cytokinin production has the potential to explain the longer leaf retention under FACE and FAHM. An increase in fine root growth is a common feature in trees under elevated CO₂, and has been suggested to be due to high C allocation to roots, but also as a mechanism to increase nutrient uptake to meet the demand of increased aboveground growth (Smith et al. 2013a). Similarly, elevated humidity increased specific fine-root length (SRL) increase in *Betula pendula* and was interpreted as a morphological adaptation leading to an increase in the absorptive area to facilitate nutrient uptake (Parts et al. 2013).

389 At BangorFACE, the years of longer leaf retention, 2006 and 2008, were
390 characterised by periods of low precipitation for 2 successive months in the
391 summer and high tropospheric O₃ concentration during this period. The
392 physiological mechanisms behind this effect can only be speculated upon. Both
393 O₃ (Yendrek et al. 2013) and elevated CO₂ (Eamus and Jarvis 1989) have been
394 shown to reduce stomatal conductance, and thus reduce instantaneous leaf water
395 loss. Further, as O₃ has been reported to directly contribute to earlier leaf
396 senescence (Yendrek et al. 2013), lower stomatal conductance under elevated CO₂
397 may reduce O₃ exposure. Common to both FACE and FAHM is the potential to
398 lower transpiration loss either through lower stomatal conductance (in FACE) or
399 through lower water vapour pressure gradient (in FAHM). Higher water retention
400 by the ecosystem throughout the growing season may lead to lower cumulative
401 water stress in dry summers. Alternatively, the higher root biomass as discussed
402 above may be beneficial in drier periods and also contribute to lower cumulative
403 water stress. However, it should also be noted that both *Alnus glutinosa* and
404 *Populus tremula* × *tremuloides* displayed varying leaf fall pattern compared to
405 *Betula pendula*.

406

407 **Conclusions**

408 Two separate experiments, one increasing atmospheric CO₂ whilst the other
409 increasing air humidity, have both shown that deciduous tree species can respond
410 to changing atmospheric conditions by prolonging their growing season. This
411 effect, however, is not universal and appears species-specific. Further, the ability

412 of trees to respond to changing atmospheric composition by retaining their foliage
413 for longer may be modified by interaction with other factors. This research shows
414 that the recently observed increasing duration of foliage cover in forests may not
415 only be an effect of increasing tropospheric temperature, but also be driven
416 directly by changing atmospheric composition.

417

418 **Acknowledgements**

419 The FAHM study was supported by the Ministry of Education and Science of
420 Estonia (grant SF SF0180025s12) and by the EU through the European Social
421 Fund (Mobilitas postdoctoral grant MJD 257) and the European Regional
422 Development Fund (Centre of Excellence ENVIRON). The development of
423 BangorFACE site infrastructure was funded by SRIF. We thank the Aberystwyth
424 and Bangor Universities Partnership Centre for Integrated Research in the Rural
425 Environment and the Forestry Commission Wales for financially supporting the
426 running costs of the experiment. Andrew Smith was supported by the Sir
427 Williams Roberts PhD Scholarship match funded by the Drapers' Company.

428

429 **References**

430

431 Ahmed IUMT (2006) Leaf decomposition of birch (*Betula pendula*), alder (*Alnus*
432 *glutinosa*) and beech (*Fagus sylvatica*) grown under elevated atmospheric
433 CO₂. Dissertation, Bangor University

- 434 Ainsworth EA, Long SP (2005) What have we learned from 15 years of free-air
435 CO₂ enrichment (FACE)? A meta-analytic review of the responses of
436 photosynthesis, canopy properties and plant production to rising CO₂. *New*
437 *Phytol.* 165(2):351–371
- 438 Buchanan-Wollaston V (1997) The molecular biology of leaf senescence. *J Exp*
439 *Bot* 48:181–199
- 440 Chang H, Jones ML, Banowetz GM, Clark DG (2003) Overproduction of
441 cytokinins in petunia flowers transformed with P_{SAG12}-IPT delays corolla
442 senescence and decreases sensitivity to ethylene. *Plant Physiol* 132:1–10
- 443 Dong H, Niu Y, Li W, Zhang D (2008) Effects of cotton rootstock on endogenous
444 cytokinins and abscisic acid in xylem sap and leaves in relation to leaf
445 senescence. *J Exp Bot* 59:1295–1304
- 446 Eamus D, Jarvis PG (1989) The direct effects of increases in the global
447 atmospheric CO₂ concentration on natural and commercial temperate trees
448 and forests. *Adv Ecol Res* 19:1–55
- 449 Ferreira V, Gonçalves AL, Godbold DL, Canhoto C (2010) Effect of increased
450 atmospheric CO₂ on the performance of an aquatic detritivore through
451 changes in water temperature and litter quality. *Glob Chang Biol* 16:
452 3284–3296
- 453 Hansen R, Mander Ü, Soosaar K, Maddison M, Lõhmus K, Kupper P, Kanal A,
454 Sõber J (2013) Greenhouse gas fluxes in an open air humidity
455 manipulation experiment. *Landsc Ecol* 28(4):637–649

456 Herrick JD, Thomas RB (2003) Leaf senescence and late-season net
 457 photosynthesis of sun and shade leaves of overstory sweetgum
 458 (*Liquidambar styraciflua*) grown in elevated and ambient carbon dioxide
 459 concentrations. *Tree Physiol* 23:109–118
 460 IPCC (2013) *Climate Change 2013: The Physical Science Basis*. Cambridge
 461 University Press, Cambridge
 462 Iverson CM (2010) Digging deeper: fine-root response to rising atmospheric CO₂
 463 concentration in forested ecosystems. *New Phytol* 186:346–357
 464 Kont A, Jaagus J, Aunap R (2003) Climate change scenarios and the effect of sea-
 465 level rise for Estonia. *Glob Planet Change* 36:1–15
 466 Kupper P, Söber J, Sellin A, Lõhmus K, Tullus A, Räim O, Lubenets K, Tulva I,
 467 Uri V, Zobel M, Kull O, Söber A (2011) An experimental facility for Free
 468 Air Humidity Manipulation (FAHM) can alter water flux through
 469 deciduous tree canopy. *Environ Exp Bot* 72 (3):432–438
 470 Li JH, Dijkstra P, Hymus GJ, Wheeler RM, Piastuch WC, Hinkle CR, Drake BR
 471 (2000) Leaf senescence of *Quercus myrtifolia* as affected by long-term
 472 CO₂ enrichment in its native environment. *Glob Chang Biol* 6:727–733
 473 Lim PO, Kim HJ, Name HG (2007) Leaf senescence. *Ann Rev Plant Biol* 58:115–
 474 136
 475 Leutzinger S, Hätenschwiler S (2013) Beyond global change: lessons from 25
 476 years of CO₂ research. *Oecologia* 171:639–651
 477 Menzel A, Sparks TH, Estrella N, Koch E, Aasa A, Ahas R, Alm-Kubler K,
 478 Bissolli P, Brasklavska O, Briede A, Chmielewski FM, Crepinsek Z,

479 Curnel Y, Dahl A, Defila C, Donnelly A, Filella Y, Jatzak K, Mage F,
 480 Mestre A, Nordli O, Penuelas J, Pirinen P, Remisova V, Scheifinger H,
 481 Striz M, Susnik A, van Vliet AJH, Wielgolaski F-M, Zach S, Zust A
 482 (2006) European phenological response to climate change matches the
 483 warming pattern. *Glob Chang Biol* 12:1–8.

484 Morris K, A-H-Mackerness S, Page T, John F, Murphy AM, Carr JP, Buchanan-
 485 Wollaston V (2000) Salicylic acid has a role in regulating gene expression
 486 during leaf senescence. *Plant J* 23:677–685

487 Murakami PF, Schaberg PG, Shane JB (2008) Stem girdling manipulates leaf
 488 sugar concentrations and anthocyanin expression in sugar maple trees
 489 during autumn. *Tree Physiol* 28:1467–1473

490 Parts K, Tedersoo L, Lõhmus K, Kupper P, Rosenvald K, Sõber A, Ostonen I
 491 (2013) Increased air humidity and understory composition shape short root
 492 traits and the colonizing ectomycorrhizal fungal community in silver birch
 493 stand. *ForEcol Manag* 310:720–728

494 Quirino BF, Noh YS, Himmelblau E, Amasino RM (2000) Molecular aspects of
 495 leaf senescence. *Plant Sci* 5:278–282

496 Schaberg PG, Murakami PF, Turner MR, Heitz HK, Hawley GJ (2008)
 497 Association of red coloration with senescence of sugar maple leaves in
 498 autumn. *Trees* 22:573–578

499 Sellin A, Tullus A, Niglas A, Õunapuu E, Karusion A, Lõhmus K (2013)
 500 Humidity-driven changes in growth rate, photosynthetic capacity,

501 hydraulic properties and other functional traits in silver birch (*Betula*
502 *pendula*). *Ecol Res* 28:523–535

503 Sigurdsson BD (2001) Elevated [CO₂] and nutrient status modified leaf phenology
504 and growth rhythm of young *Populus trichocarpa* trees in a 3-year field
505 study. *Trees* 15:403–413

506 Smith AR, Lukac M, Hood R, Healey JR, Miglietta F, Godbold D (2013a)
507 Elevated CO₂ enrichment induces a differential biomass response in a
508 mixed species temperate forest plantation. *New Phytol* 198:156–168

509 Smith AR, Lukac M, Bambrick M, Miglietta F, Godbold DL (2013b) Tree species
510 diversity interacts with elevated CO₂ to induce a greater root system
511 response. *Glob Chang Biol* 19:217–228

512 Swartzberg D, Hanael R, Granot D (2010) Relationship between hexokinase and
513 cytokinin in the regulation of leaf senescence and seed germination. *Plant*
514 *Biol* 13:439–444

515 Tallis MJ, Lin Y, Rogers A, Zhang J, Street NR, Miglietta F, Karnosky DF, De
516 Angelis P, Calfapietra C, Taylor G (2010) The transcriptome of *Populus* in
517 elevated CO₂ reveals increased anthocyanin biosynthesis during delayed
518 autumnal senescence. *New Phytol* 186:415–428

519 Taylor G, Tallis MJ, Giardina CP, Percy KE, Miglietta F, Gupta PS, Gioli B,
520 Calfapietra C, Gielen B, Kubiske MEM, Scarascia-mugnozza GE, Kets K,
521 Long SP, Karnosky DF (2008) Future atmospheric CO₂ leads to delayed
522 autumnal senescence. *Glob Chang Biol* 14:264–275

523 Tullus A, Kupper P, Sellin A, Parts L, Söber J, Tullus T, Lõhmus K, Söber A,
 524 Tullus H (2012a) Climate Change at Northern Latitudes: Rising
 525 Atmospheric Humidity Decreases Transpiration, N-uptake and Growth
 526 Rate of Hybrid Aspen. PLoS ONE 7(8):e42648
 527 Tullus A, Rytter L, Tullus T, Weih M, Tullus H (2012b) Short-rotation forestry
 528 with hybrid aspen (*Populus tremula* L. \times *P. tremuloides* Michx.) in
 529 Northern Europe. Scand J For Res 27:10-29
 530 Winger A, Purdy S, MacLean JA, Poutau N (2006) The role of sugars in
 531 integrating environmental signals during leaf senescence. J Exp Bot
 532 57:391–399
 533 Yendrik CR, Leisner CP, Ainsworth EA (2013). Chronic ozone exacerbates the
 534 reduction in photosynthesis and acceleration of senescence caused by
 535 limited N availability in *Nicotiana sylvestris*. Glob Chang Biol 19: 3155–
 536 3166
 537 Yong JWH, Wong SC, Letham DS, Hocart CH, Farquhar GD (2000) Effects of
 538 elevated [CO₂] and nitrogen nutrition on cytokinins in the xylem sap and
 539 leaves of cotton. Plant Physiol 124:767–779
 540 Zak DR, Pregitzer KS, Kubiske ME, Burton AJ (2011) Forest productivity under
 541 elevated CO₂ and O₃: positive feedbacks to soil N cycling sustain decade-
 542 long net primary productivity enhancement by CO₂. Ecol Lett 14: 1220–
 543 1226.
 544 Zhou L, Tucker CJ, Kaufmann RK, Slayback D, Shabanov NV, Myneni, RB
 545 (2001) Variations in northern vegetation activity inferred from satellite

546 data of vegetation index during 1981 to 1999. J Geophys Res 106:20069–
547 20083
548

Table 1. Environmental variables and the lifespan of the leaf canopy (bud-burst to final leaf fall) in *Betula pendula* at BangorFACE throughout the four years of CO₂ enrichment. The effect of elevated CO₂ on canopy lifespan is shown in parenthesis in days. T_{min} and T_{max} are based on the daily minimum and maximum temperatures. GDD = growing degree days. $GDD = \left(\frac{T_{min} + T_{max}}{2} \right) - 10$.

Year	T _{min} (°C)	T _{max} (°C)	GDD (base 10°C)	Rain (mm)	Ozone (AOT40)	Ambient CO ₂ canopy lifespan (days)	Elevated CO ₂ canopy lifespan (days)
2005	-3.5	27.0	1910	726	9058	201	201 (+0)
2006	-5.5	34.3	2065	1111	12931	176	190 (+14)
2007	-3.3	24.3	1672	705	3783	172	172 (+0)
2008	-4.5	25.4	1788	1077	7561	165	177 (+12)

Table 2. Environmental variables and the lifespan of the leaf canopy (bud-burst to final leaf fall) at FAHM throughout the five years of relative humidity (RH) manipulation. The effect of FAHM on canopy lifespan is shown in parenthesis in days. T_{min} and T_{max} are based on the average annual minimum and maximum temperatures. $GDD = \text{growing degree days}$. $GDD = \left(\frac{T_{min} + T_{max}}{2} \right) - 10$

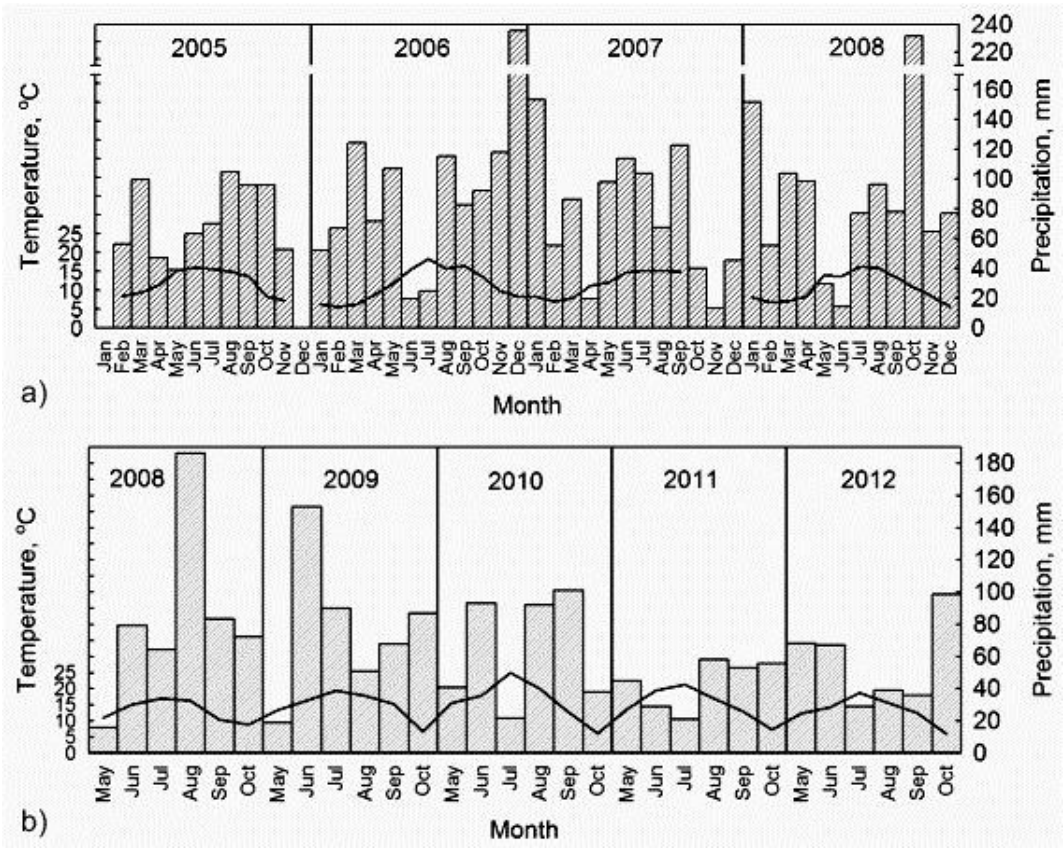
Year	T_{min} (°C)	T_{max} (°C)	GDD (base 10°C)	Rain (May-Oct) (mm)	*Total precip. (mm)	Ambient RH canopy lifespan (days)		Elevated RH canopy lifespan (days)	
						50% fallen	100% fallen	50% fallen	100% fallen
2008	-17.1	30.8	619	502	853	Aspen: 156	170	156 (+0)	170 (+0)
						Birch: 168	205	177 (+9)	205 (+0)
2009	-20.7	30.7	1015	468	696	Aspen: 160	190	160 (+0)	190 (+0)
						Birch: 145	211	166 (+21)	211 (+0)
2010	-27.6	36.9	1321	387	828	Aspen: 151	193	137 (-14)	193 (+0)
						Birch: 123	205	163 (+40)	205 (+0)
2011	-28.8	32	1043	261	669	Aspen: 154	178	154 (+0)	178 (+0)
						Birch: 141	192	153 (+12)	192 (+0)
2012	-31.3	32.9	753	339	756	Aspen: 140	171	140 (+0)	171 (+0)
						Birch: -	-	-	-

*total annual precipitation recorded by the Estonian Environment Agency's weather station, situated ca 70 km from FAHM

**birches were harvested in dormant season of 2011/2012

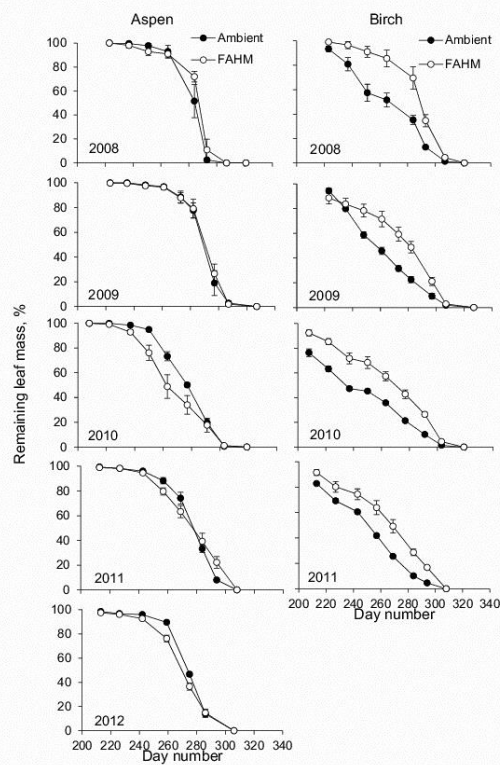
563 **Figure legends**

564 **Fig. 1.** Monthly mean air temperature (line) and total precipitation (columns) at
565 (a) BangorFACE during the years 2005-2008 and at (b) FAHM during the
566 growing seasons 2008-2012.



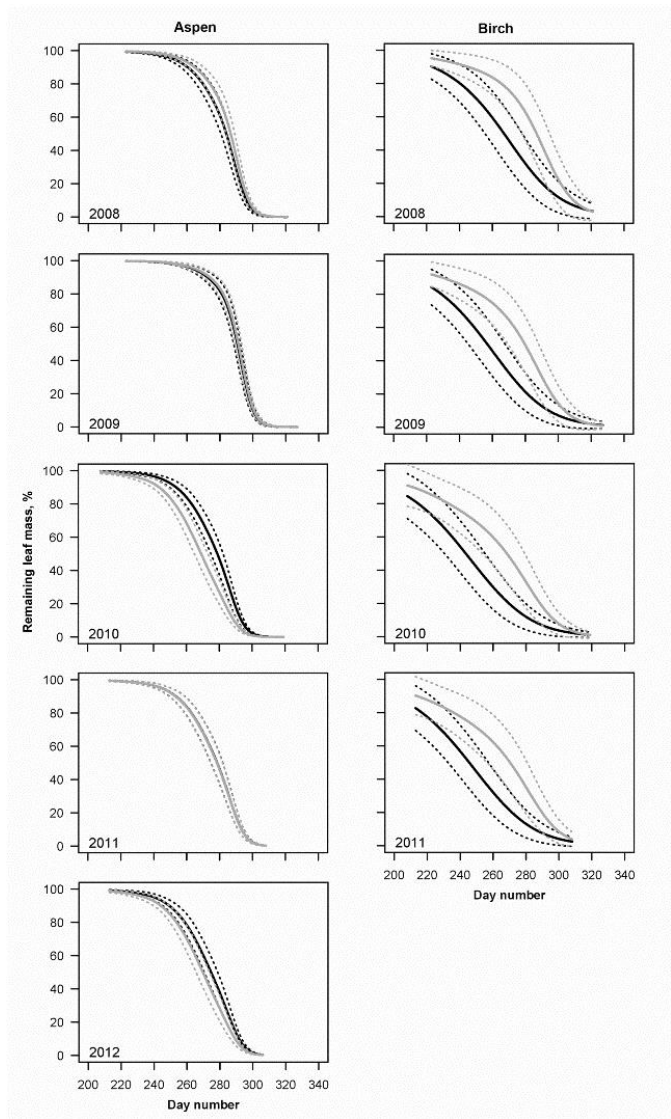
567
568

569 **Fig. 2.** Percentage leaf mass remaining in the canopy of birch (*Betula pendula*)
570 and hybrid aspen (*Populus tremula* × *tremuloides*) grown at ambient humidity or
571 increased humidity (FAHM). Data points show mean ± SE. n=3.
572



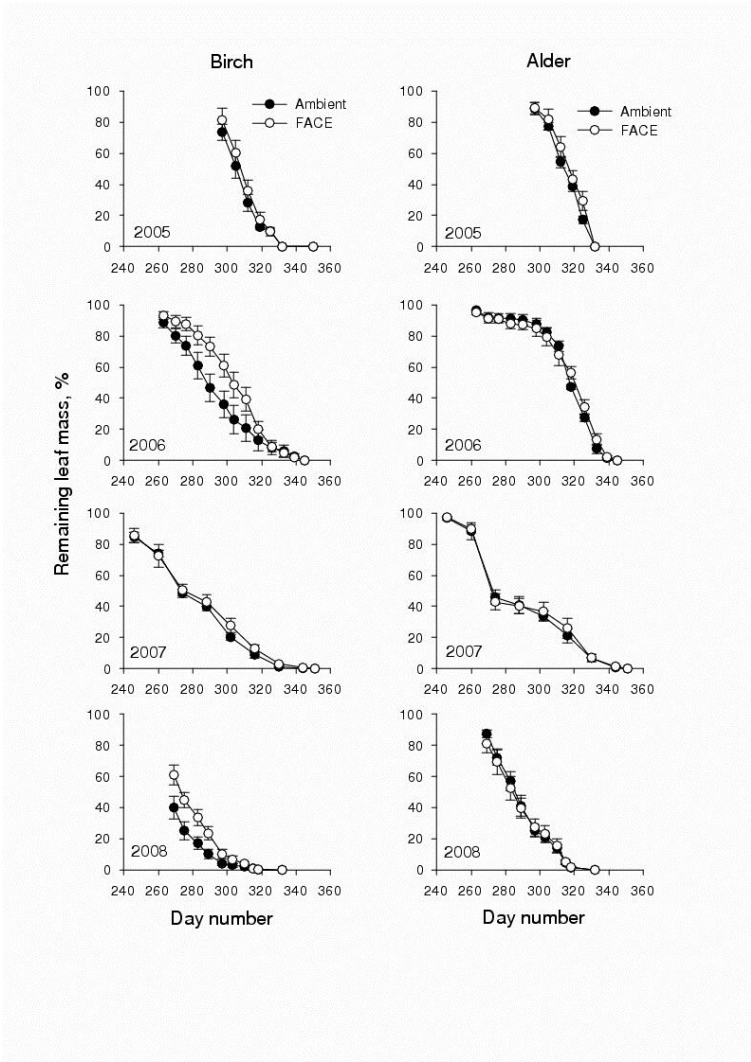
573

574 **Fig. 3.** Percentage leaf mass remaining in the canopy of birch (*Betula pendula*)
 575 and hybrid aspen (*Populus tremula* × *tremuloides*) grown at ambient humidity or
 576 increased humidity (FAHM). Model predictions (solid lines) and 95% confidence
 577 intervals (dashed lines) are shown for leaf mass remaining over time for
 578 individual years in the ambient (black) and elevated (grey) humidity treatments.



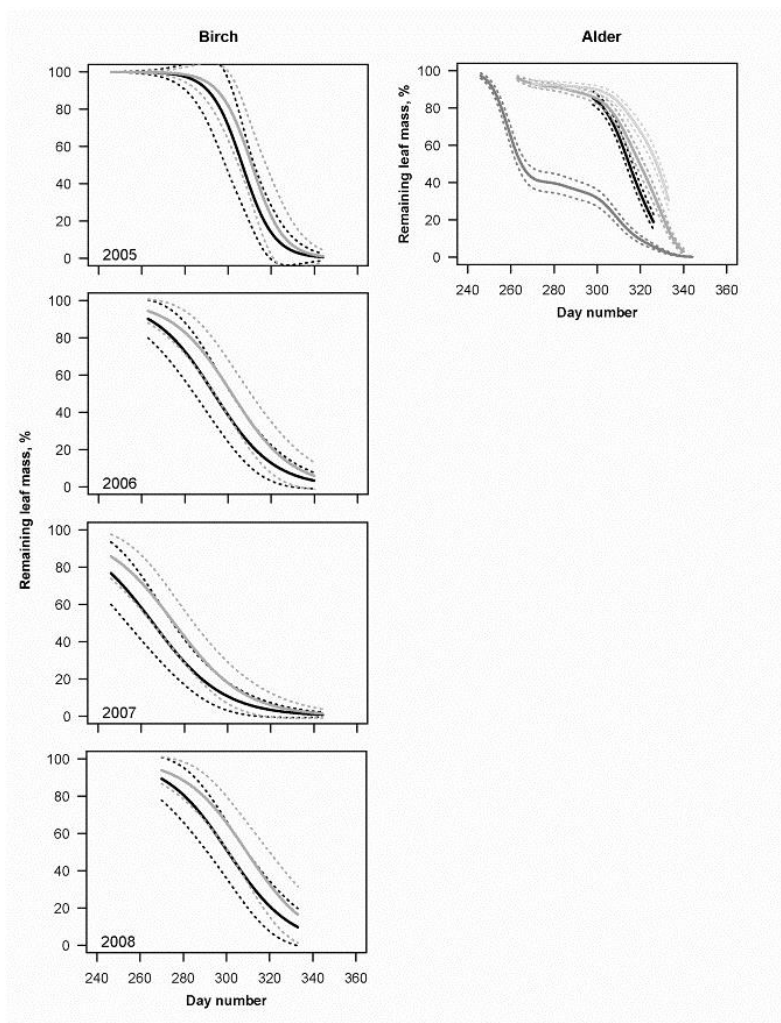
579
 580
 581

582 **Fig. 4.** Percentage leaf mass remaining in the canopy of birch (*Betula pendula*)
 583 and alder (*Alnus glutinosa*) grown at ambient or elevated atmospheric CO₂
 584 (FACE). Data points show mean \pm SE. n=4.



587 **Fig. 5.** Percentage leaf mass remaining in the canopy of birch (*Betula pendula*)
588 and alder (*Alnus glutinosa*) grown at ambient or elevated atmospheric CO₂
589 (FACE). Model predictions (solid lines) and 95% confidence intervals (dashed
590 lines) are shown for leaf mass remaining over time. In *Betula pendula* this is for
591 the individual years in the ambient (black) and elevated (grey) CO₂ treatments. In
592 *Alnus glutinosa* shown are the individual years with the treatments combined, as
593 there are no treatment effects, but a significant difference between 2007 and the
594 other years.

595



596